



#4

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0851-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to furnish information to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 2,446.00

Complete if Known

Application Number	09/369,941
Filing Date	August 6, 1999
First Named Inventor	Charlotte A. Kensil
Examiner Name	Not Assigned
Group / Art Unit	1643
Attorney Docket No.	106.941.181

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES	
Deposit Account Number <u>08-0219</u>		Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	
Deposit Account Name <u></u>		105 <u>130</u> 205 65 Surcharge - late filing fee or oath 130.	
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	
2. <input type="checkbox"/> Payment Enclosed:		139 130 139 130 Non-English specification	
<input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		147 2,520 147 2,520 For filing a request for reexamination	
FEE CALCULATION		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
1. BASIC FILING FEE		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		115 110 215 55 Extension for reply within first month	
101 <u>690</u> 201 345 Utility filing fee 690.		116 380 216 190 Extension for reply within second month	
106 310 206 155 Design filing fee		117 <u>870</u> 217 435 Extension for reply within third month 870.	
107 480 207 240 Plant filing fee		118 1,360 218 680 Extension for reply within fourth month	
108 690 208 345 Reissue filing fee		128 1,850 228 925 Extension for reply within fifth month	
114 150 214 75 Provisional filing fee		119 300 219 150 Notice of Appeal	
SUBTOTAL (1) (\$ 690.		120 300 220 150 Filing a brief in support of an appeal	
2. EXTRA CLAIM FEES		121 260 221 130 Request for oral hearing	
Total Claims <u>62</u> Extra Claims <u>42</u> Fee from below <u>18</u> Fee Paid <u>756.</u>		138 1,510 138 1,510 Petition to institute a public use proceeding	
Independent Claims <u>20</u> - 3** = <u>18</u> X <u>18</u> = <u>324</u>		140 110 240 55 Petition to revive - unavoidable	
Multiple Dependent <u>42</u> - 3** = <u>39</u> X <u>18</u> = <u>702</u>		141 1,210 241 605 Petition to revive - unintentional	
**or number previously paid, if greater; For Reissues, see below		142 1,210 242 605 Utility issue fee (or reissue)	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		143 430 243 215 Design issue fee	
103 <u>18</u> 203 9 Claims in excess of 20		144 580 244 290 Plant issue fee	
102 78 202 39 Independent claims in excess of 3		122 130 122 130 Petitions to the Commissioner	
104 260 204 130 Multiple dependent claim, if not paid		123 50 123 50 Petitions related to provisional applications	
109 78 209 39 ** Reissue independent claims over original patent		126 240 126 240 Submission of Information Disclosure Stmt	
110 18 210 9 Reissue claims in excess of 20 and over original patent		581 40 581 40 Recording each patent assignment per property (times number of properties)	
SUBTOTAL (2) (\$ 756.00		146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))	
		Other fee (specify) _____	
		Other fee (specify) _____	
		SUBTOTAL (3) (\$ 1,000.00	
		* Reduced by Basic Filing Fee Paid	

SUBMITTED BY		Complete (if applicable)	
Name (Print Type)	Colleen Superko	Registration No. (Attorney/Agent)	39,850
Signature	<i>Colleen Superko</i>	Telephone	617-526-6564
		Date	

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

sector 1/8
BOX 529
#4

PATENT
Attorney Docket No. 106.941.181

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Charlotte A. Kensil

Group Art Unit: 1643

Serial No.: 09/369,941

Filed: August 6, 1999

Examiner: Not Assigned

For: COMPOSITIONS OF CPG AND
SAPONIN ADJUVANTS
AND USES THEREOF

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence and any enclosure referenced therein are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231.

February 3, 2000

Date

Joyce Willette
Joyce Willette

TRANSMITTAL LETTER

Sir:

Enclosed in connection with the above-identified application for the appropriate action by the United States Patent and Trademark Office are the following documents:

1. Petition and Fee For 3 Month Extension of Time;
2. Response To Notice To File Missing Parts Of Application (Filing Date Granted);

U.S.S.N. 09/369,941

Kensil
Page Two

3. Executed Combined Declaration and Powers of Attorney; and
- 4.) Return Copy of Notice of Missing Parts of Application.

In addition, enclosed is a self-addressed, postage prepaid postcard. Please appropriately date stamp the postcard to indicate receipt of the above-mentioned documents and return the postcard to the undersigned for our files.

Please charge any underpayment or credit any overpayment in connection with this matter to Deposit Account No. 08-0219.

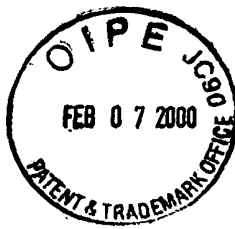
Respectfully submitted,

Dated: February 3, 2000



Colleen Superko
Registration No. 39,850
Attorney for Applicant

Hale and Dorr LLP
60 State Street
Boston, Massachusetts 02109
Tel: (617) 526-6564



Patent
Attorney's Docket No. 106.941.181

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
)	
Charlotte A. KENSIL)	Group Art Unit: 1643
)	
Serial No.: 09/369,941)	Examiner: Unknown
)	
Filed: August 6, 1999)	ATTENTION: BOX SEQUENCE
)	
For: COMPOSITIONS OF CPG AND)	
SAPONIN ADJUVANTS AND)	
USES THEREOF)	

DECLARATION PURSUANT TO
37 C.F.R. §§1.821-1.825

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I, Colleen Superko, declare as follows:

1. That the content of the paper and computer readable copies of the Sequence Listing are hereby submitted in accordance with 37 C.F.R. §1.821(c) and (e), respectively, are the same in compliance with §1.821(f).
2. That the information recorded in computer readable form is identical to the written sequence listing, in accordance with 37 C.F.R. § 1.821(f).
3. That the submission, filed in accordance with 37 C.F.R. §1.821(g) herein does not include new matter.

I hereby declare that all statements made herein of my own knowledge are

true and that all statements were made on information and belief and are believed to be true;
and further that these statements were made with the knowledge that willful false statements
and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of
Title 18 of the United States Code and that such willful false statements may jeopardize the
validity of the application or any patent issued thereon.

2/3/00
Date

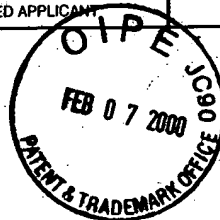
Colleen Superko
Colleen Superko
Registration No. 39,850



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/369,941	08/06/99	KENSIL	C 106941.181
COLLEEN SUPERKO HALE AND DORR LLP 60 STATE STREET BOSTON MA 02109			0242/0903
DATE MAILED:			09/03/99

NOT ASSIGNED
1643



NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 4,646.10.

- ☒ 1. The statutory basic filing fee is:
☒ missing. (\$690.00)
☐ insufficient.

Applicant must submit \$ 1760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:

\$ 4756.10 for 42 total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Dir ct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

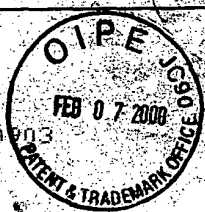
09/05/99 0941
080219
00000017
02/11/2000 WAN11
01 FC:101
02 FC:105
03 FC:103
750.00 CH
130.00 CH
750.00 CH



Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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097069.941 08/06/99 KENSIL C 106941.18E



COLLEEN SUPERKO
HALE AND DORR LLP
60 STATE STREET
BOSTON MA 02109

024270703

NOT ASSIGNED

DATE MAILED:

09/03/99

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application fails to comply with the requirements of 37 CFR 1.821 - 1.825.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. OTHER: _____

APPLICANT MUST PROVIDE:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing."
- ☒ An initial or substitute paper copy of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CONTACT:

- ☒ For Rules Interpretation, call (703) 308-1123.
- ☐ For CRF submission help, call (703) 308-4212.
- ☐ For PatentIn software help, call (703) 308-6856.

OA (Seq. List)
03 NOV 19 / 03 MAR 2003
LD
10 SEP 99

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE